

# The Regulatory Environment for Therapeutic Vaccines

Thomas Hinz  
Head, Section Therapeutic Vaccines  
Paul Ehrlich Institute, Germany  
[hinh@pei.de](mailto:hinh@pei.de)

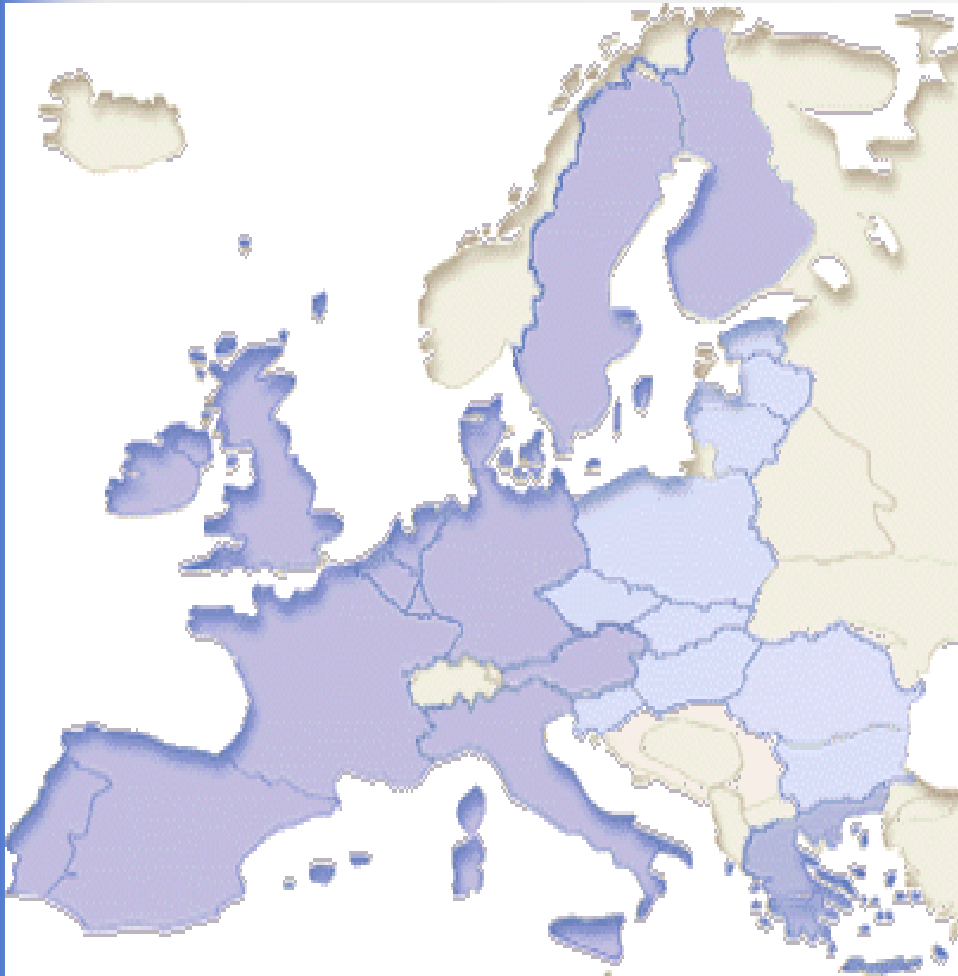


# Topics Addressed

- Regulatory environment
  - EU
  - Germany
- Available EU Guidance for Therapeutic Vaccines
  - Guideline on human cell-based products
  - Guideline on potency assessment of cell-based immunotherapy products
- Preclinical and clinical issues



# Network of EU Agencies

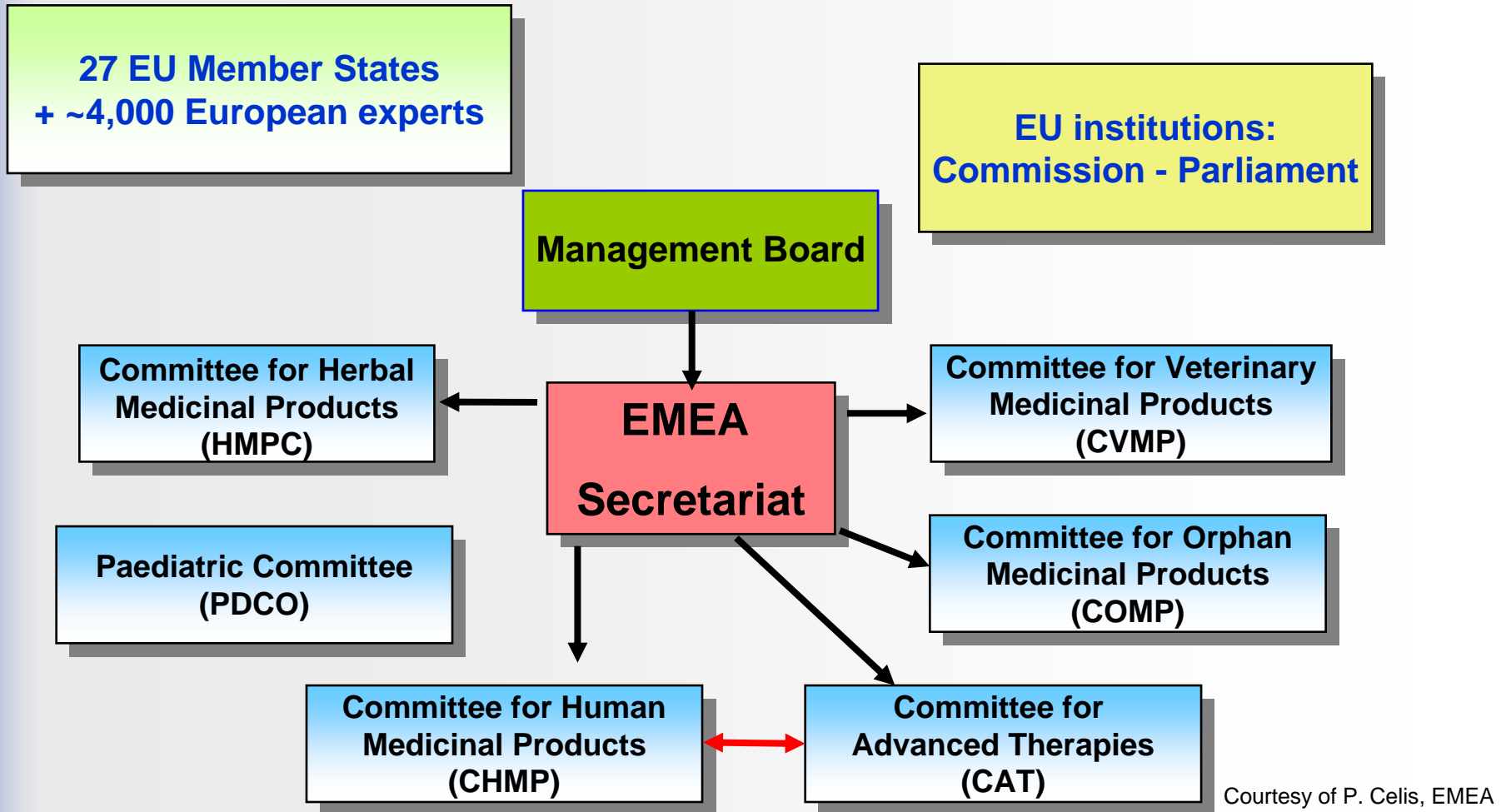


Courtesy of P. Celis, EMEA

<b>Austria</b>	<b>Latvia</b>
<b>Belgium</b>	<b>Lithuania</b>
<b>Bulgaria</b>	<b>Luxembourg</b>
<b>Cyprus</b>	<b>Netherlands</b>
<b>Czech Republic</b>	<b>Malta</b>
<b>Denmark</b>	<b>Poland</b>
<b>Estonia</b>	<b>Portugal</b>
<b>Finland</b>	<b>Romania</b>
<b>France</b>	<b>Slovak</b>
<b>Germany</b>	<b>Republic</b>
<b>Greece</b>	<b>Slovenia</b>
<b>Hungary</b>	<b>Spain</b>
<b>Ireland</b>	<b>Sweden</b>
<b>Italy</b>	<b>UK</b>



# European Medicines Agency (EMA)



Courtesy of P. Celis, EMA



# Committee for Advanced Therapies (CAT)

- Evaluate & prepare draft opinions on Advanced Therapy Medicinal Products (ATMP)
  - Gene therapy, somatic cells, tissue engineered products
- Involvement in Scientific Advice on ATMP
- Additional tasks:
  - Certification of Quality / Non-clinical data (for SMEs)
  - Scientific recommendation on classification as ATMP
  - Evaluation of products already on the market



# Products Licensed by EMEA via Centralized Procedure

## ➤ Scope (mandatory)

- Biotechnology products / ATMP
- Orphan drugs (rare diseases)
- Medicines for treatment of:
  - AIDS, cancer, neurodegenerative disorders, diabetes, autoimmune diseases/immune dysfunctions, viral diseases

## ➤ Scope (optional)

- New chemical entity
- Significant therapeutic, scientific or technical innovation



# Paul-Ehrlich-Institut

- Marketing authorization of medicines in D or EU
  - sera, vaccines, blood preparations, bone marrow preparations, tissue preparations, allergens, gene transfer medicinal products, somatic cell therapy products, xenogenic cell therapy products and blood components manufactured using genetic engineering
- Research in the fields of e.g. immunology, virology, hematology, allergology
- Batch control (licensed vaccines)
- Pharmacovigilance (safety. i.e. AE, SAE, SUSAR)
- National scientific advice
- Inspection (GCP, GMP)
- Clinical trial authorization



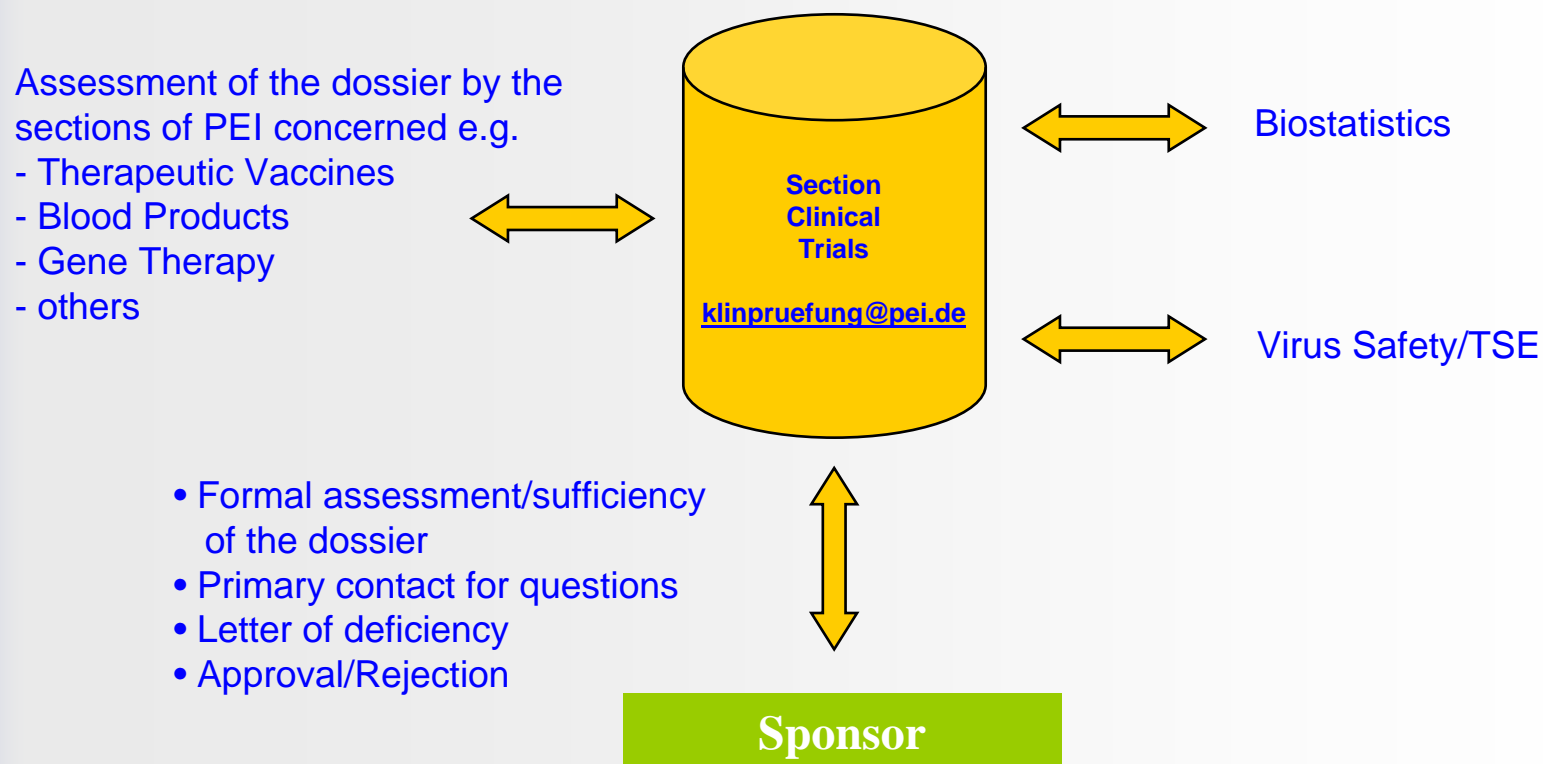
# Approval of Clinical Trials

- Remains the responsibility of the member states where the trial is conducted
- Based on EU harmonized GCP legislation
- EMEA is hosting the 'Clinical trials facilitation group'
  - Voluntary Harmonized Procedure (VHP) might be possible for multinational, first-in-human, phase III trials. For more information see <http://www.hma.eu/78.html>





# Approval of Clinical Trials at PEI



# Regulation of Therapeutic Vaccines in the EU



# Legal Basis for Therapeutic Vaccines

- No Pharm. Eur. definition for Therapeutic Vaccines available
  - specific and active immunity in man against an infecting agent (Ph. Eur. 5.05)
- No Definition of Therapeutic Vaccines in Community Code (Directive 2001/83/EC)
- No Definition in German Drug Law (Arzneimittelgesetz)
- Problem: The legal basis for EMEA guidelines addressing the manufacturing and quality control, preclinical and clinical requirements for Therapeutic Vaccines is missing.



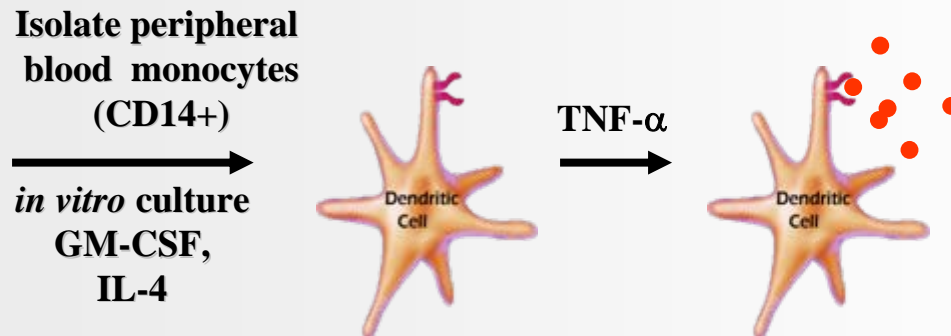
# Why No Legally Binding Definition for Therapeutic Vaccines?

- Political: Public might be confused since vaccination means the protection of healthy people, mainly children, against infectious diseases
  - Terminology like 'immunotherapy medicinal product' might be more appropriate
- Legal: Batch release might be required when classified as vaccine
- Development often complex, often different from classical (prophylactic) vaccines



# Single Specific EMEA Guideline Available

- Potency testing of cell based immunotherapy medicinal products for the treatment of cancer.  
CHMP/BWP/271475/06



Dendritic cells for cancer vaccination is classified as 'Somatic Cell Therapy Product' by EMEA

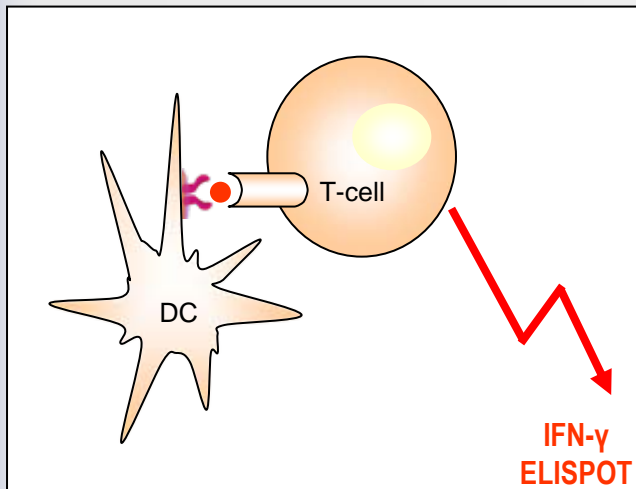


# EMA Potency Guideline

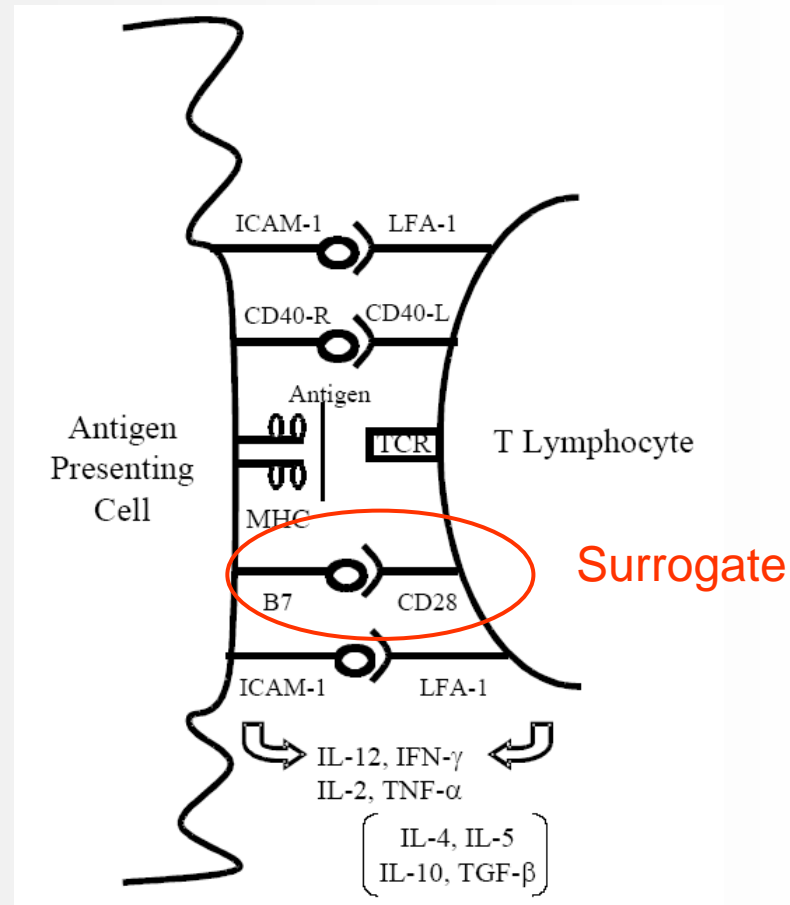
- Acknowledges that complex and laborious potency assays are not suitable for release testing of product. Such potency testing rather to be used for product characterization
- Surrogates can be tested such as co-stimulatory molecule expression in case of dendritic cells
- Correlation of surrogate with real biological activity should be shown



# Example of Potency Testing for Dendritic Cells



Potency



# Further EMEA Guideline Important for Cell-Based Therapeutic Vaccines

- Guideline on Human Cell-Based Medicinal Products, CHMP/410869/06
  - Quality & manufacturing, preclinical, and clinical aspects are covered
  - Introduces a risk-based approach for the development of cell-based products





# Risk-Based Approach for Cell-Based Products

- The results of the risk analysis should be used to
  - identify risk factors associated with the quality and safety of the product
  - to determine the extent and focus of the data required during non-clinical and clinical development
  - establish the need for risk minimisation activities
  - determine the post marketing risk management activities to be specified in the pharmacovigilance plan



# Risk-Based Approach for Cell-Based Products

- Risk criteria to be considered are e.g.
  - Origin (autologous or allogeneic)
  - Ability to proliferate and differentiate
  - Level of cell manipulation (in vitro/ex vivo expansion/activation genetic manipulation)
  - Mode of administration (ex vivo perfusion, local, systemic)
    - 
    - 
    -
  - Availability of clinical data

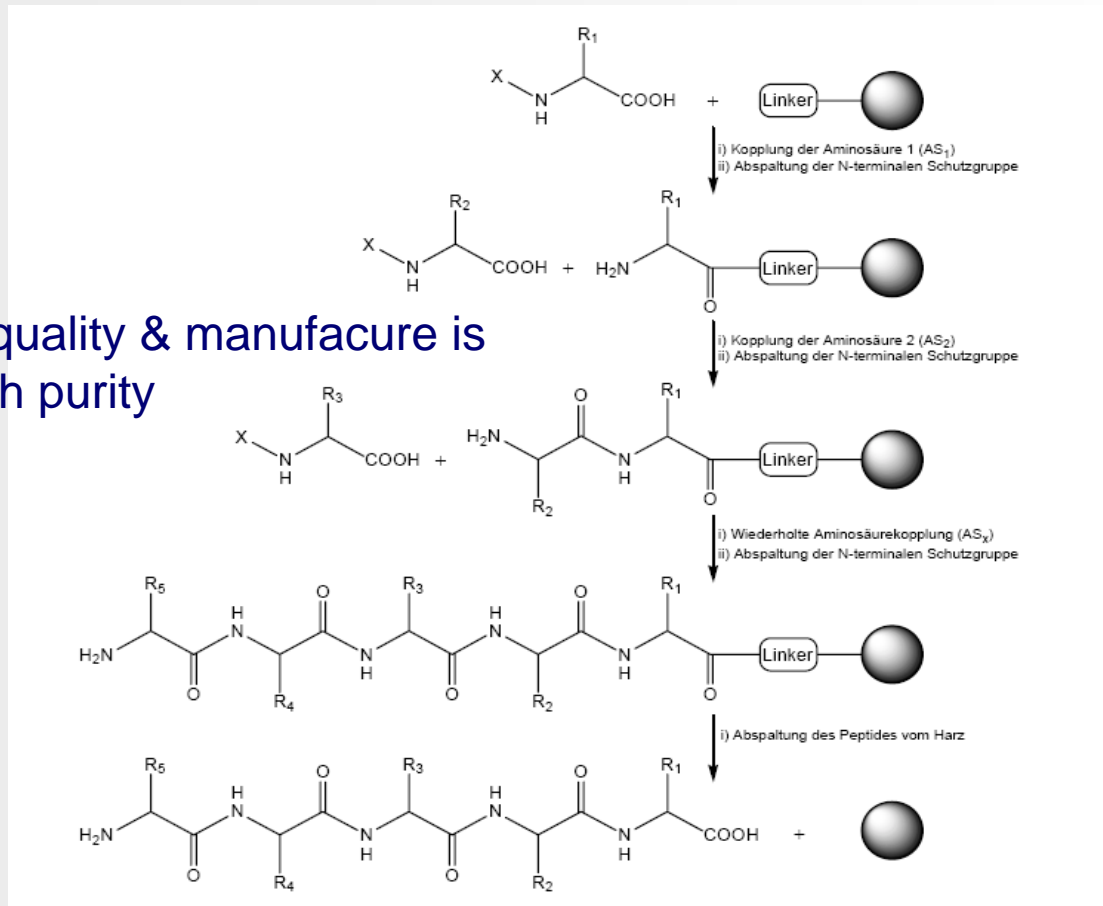


# Other Types of Therapeutic Vaccines, Besides Cells

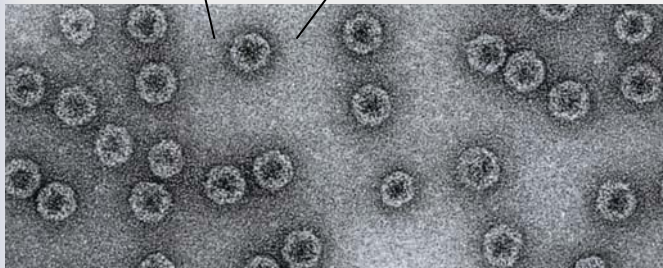
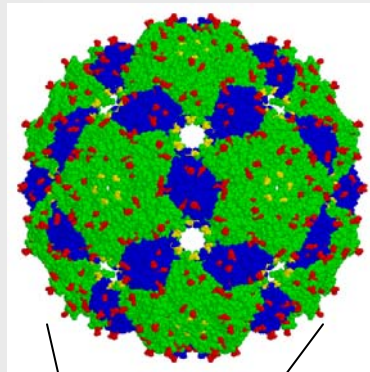


# Synthetic Peptides

Low complexity, quality & manufacture is standardized, high purity

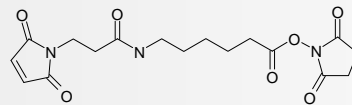
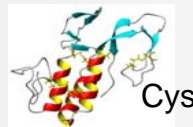


# Virus-Like Particles

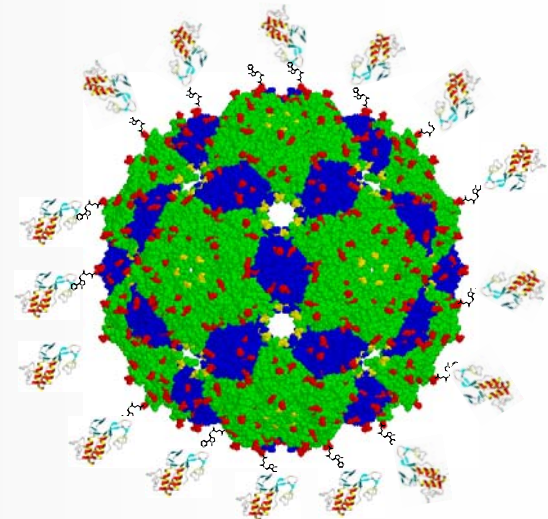


diameter = 30 nm

antigen



SMPH chemical linker



Courtesy of M. Bachmann

High complexity, CMC guidance for recombinant proteins available



# Even More...

- In vitro transcribed mRNA
- Bacteria expressing tumor antigens
- Anti idiotypic antibodies
- Recombinant proteins
- Gene Therapy Approaches
  - Naked DNA
  - Retroviruses
  - Adenoviruses
  - Genetically modified cells

Guidance is available regarding manufacturing and quality control for most of these product classes

(<http://www.emea.europa.eu/htms/human/humanguidelines/backgroun.htm>)



# Preclinical Issues for Human-Specific Products (I)

- Homologous models may be useful (e.g. mouse cells in mice) for proof of concept and toxicity (see e.g. Human Cell-Based Guideline)
- The chosen animal model may include immuno-compromised, knockout or transgenic animals (see e.g. Human Cell-based Guideline)
- Always use relevant animal models where the medicinal product is pharmacologically active (see e.g. ICH S6)



# Preclinical Issues for Human-Specific Products (II)

- Autoimmunity: Carefully study human tissue expression pattern
- There are examples of severe autoimmunity after cancer vaccination:
  - Lamers, C.H. et al. (2006). Treatment of metastatic renal cell carcinoma with autologous T-lymphocytes genetically retargeted against Anhydrase IX: First clinical experience. J. Clin. Oncol. 24: e20-2
  - Antigen expression in bile duct epithelial cells
  - Stop of clinical trial due to grade 2-4 liver toxicities





# Clinical Issues

- Clinical development of cancer vaccines is clearly different from cytotoxic compounds (see Guideline on the evaluation of anticancer products for human use, CPMP/EWP/205/95/Rev.3)
- MTD normally not applicable in Phase I
- Pharmacokinetics often not relevant for cancer vaccines
- Tumor response normally measured in Phase II might be less relevant than stabilization of disease
- Efficacy in 'last-line patients' not probable
- Find window of opportunity in patients with less advanced disease, e.g. adjuvant therapy



# Regulatory Environment Sufficient?

➤ In principle yes, but more scientific knowledge is required

- Use of pharmacologically active adjuvants (e.g. TLR ligands)
- Optimal use of cytokines
- Use of stimulating mAb (e.g. anti CTLA-4)
- Suppress Treg
- Combination with chemicals or other biologicals
- Optimal administration (local vs. systemic)
- Nature of antigen: mRNA, protein, peptide
- Optimally matured dendritic cells

