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Cell-based immune therapies – regulatory pathway and critical issues

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personal views of the author and may not be
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- **Regulatory framework for cellular immune therapies**
- **Regulatory requirements for CAR T-cells: quality and non-clinical aspects**
- **Issues of concern**
- **Guidance**

➤ Non-ATMP

➤ Advanced Therapy Medicinal Product (ATMP)

1. cells are not intended to be used for the **same essential function(s)** in the recipient and the donor (non-homologous use)

OR

2. cells have been subject to **substantial manipulation** so that relevant biological characteristics, physiological functions or structural properties have been altered

Manipulations not considered as substantial:

- Cutting and grinding
- Shaping and centrifugation
- Sterilization/irradiation
- Filtering/lyophilization
- Cell separation, purification, concentration
- Freezing/cryopreservation
- Soaking in antibiotic/antimicrobial solutions

TISSUE ENGINEERING PRODUCT

SOMATIC CELL THERAPY MEDICINAL PRODUCT

is a biological medicinal product which

- (a) is **substantial manipulated** or used in **non-homologous** way AND
- (b) is presented as having properties for, or is used in or administered to human beings with a view to treating, preventing or diagnosing a disease through the pharmacological, **immunological** or metabolic **action** of its cells or tissues

GENE THERAPY MEDICINAL PRODUCT

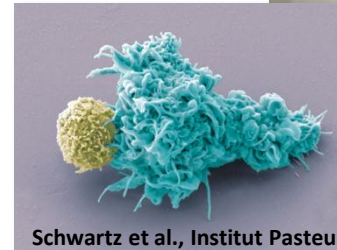
means a biological medicinal product which has the following characteristics:

- (a) it contains an active substance which **contains or consists of a recombinant nucleic acid** used in or administered to human beings with a view to regulating, repairing, replacing, adding or deleting a genetic sequence AND
- (b) its therapeutic, prophylactic or diagnostic effect **relates directly to the recombinant nucleic acid sequence** it contains, or **to the product of genetic expression** of this sequence

Gene therapy medicinal products shall not include vaccines against infectious diseases.

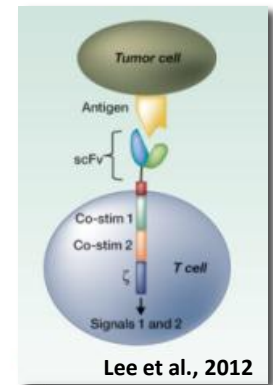
SOMATIC CELL THERAPY MEDICINAL PRODUCT

- CTLs or NK cells for adoptive immune therapy
- RNA or peptide-loaded DC
- fused tumor cells/DC hybrids
- MSCs for GvHD treatment



GENE THERAPY MEDICINAL PRODUCT

- Genetically modified tumor cells
- RNA-loaded DC
- Transgenic TCR gene modified cells
- CAR T-cells





Marketing authorisation (incl. conditional, exceptional)

- Centrally authorised by the European Commission
- Evaluation via Committee of Advanced Therapies (CAT) + CHMP
- Detailed in Regulation EC/726/2004 and Directive 2001/83/EC

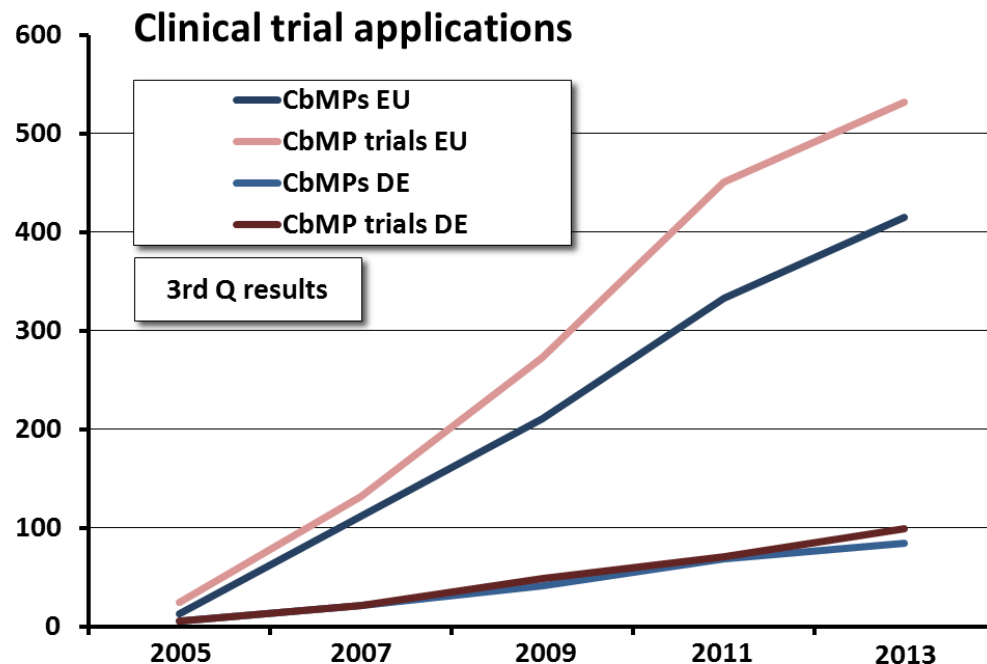
Hospital exemption

- Nationally authorised
- Restricted to ATMPs prepared on a non-routine basis for an individual patient used under professional responsibility

Clinical trials

- Nationally regulated (German Drug Law, 3rd Notification on the clinical trial of medicinal products for human use)
- Voluntary harmonisation procedure (VHP)
- Clinical Trials Regulation repealing Directive 2001/20/EC (proposed approval date mid 2014)

CBMPs applications in EU & Germany



Approved cell therapies

- ChondroCelect (TiGenix)
- Maci (Genzyme)
- Provenge (Dendreon)

Cell Therapy MPs	<u>3Q 2005</u>	<u>3Q 2007</u>	<u>3Q 2009</u>	<u>3Q 2011</u>	<u>3Q 2013</u>	
trials/products	25/13	132/112	292/211	451/333	532/415	EU
cancer immunotherapy	3	45	92	141	169	
trials	6	14	32	48	69	Germany
cancer immunotherapy	1	2	8	12	18	



Complexity of Manufacturing Process

plasmid DNA
naked
complexed

genetically
modified
microorganism

replication-competent
virus

replication-deficient
virus vector

genetically
modified
cell lines

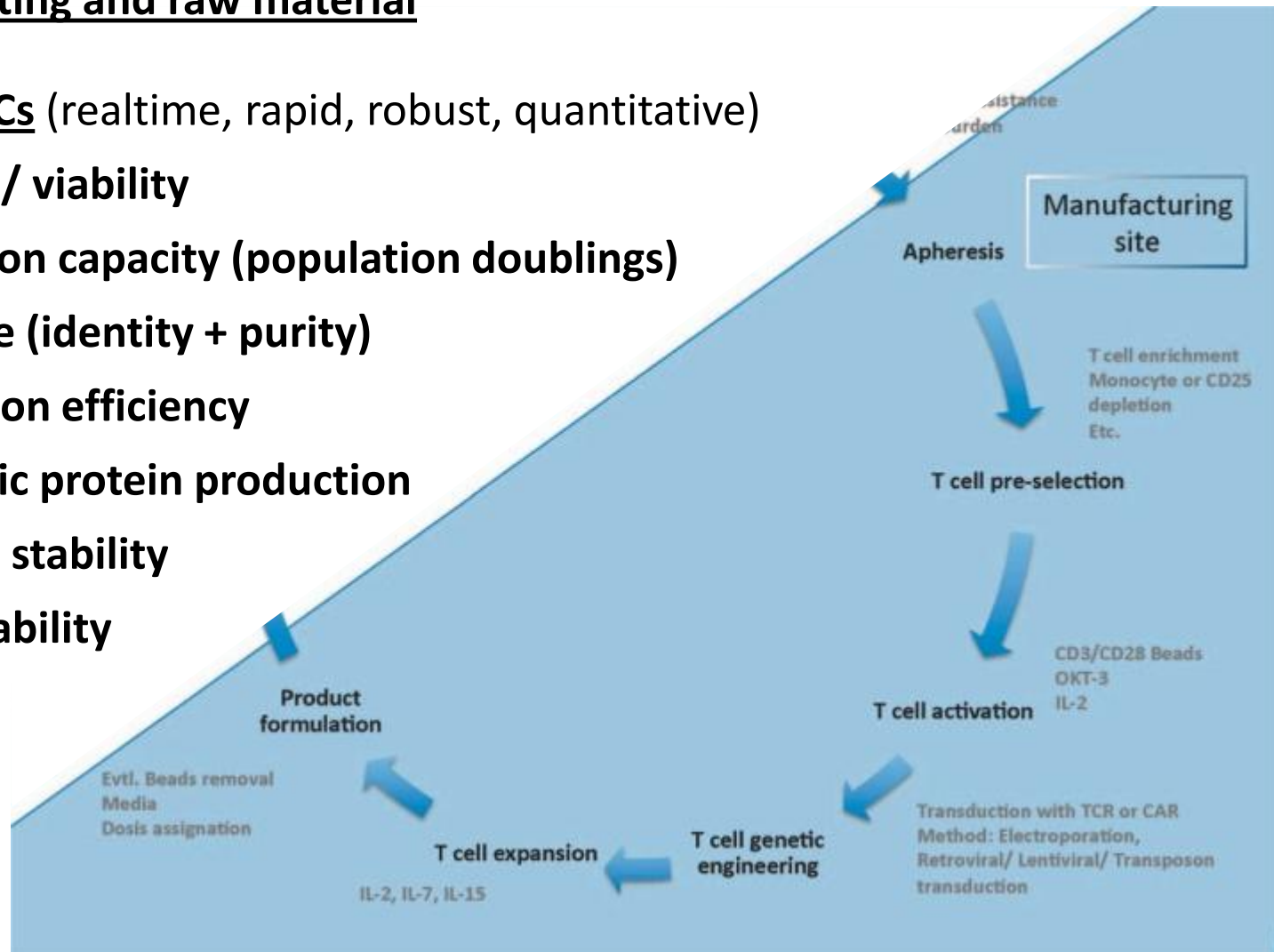
genetically
modified
primary cells

Consistency of Product

Quality of starting and raw material

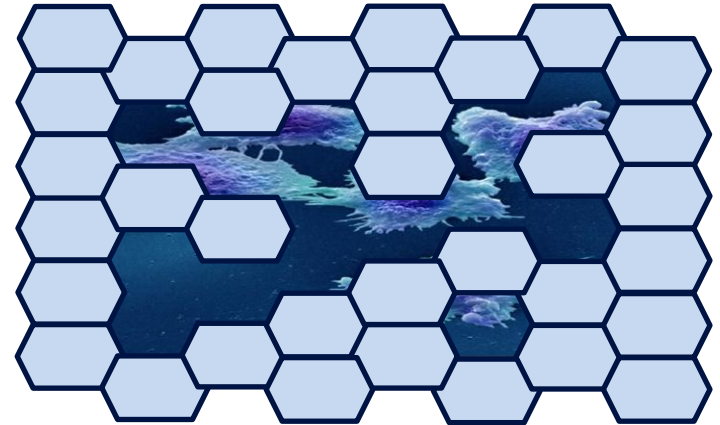
Meaningful IPCs (realtime, rapid, robust, quantitative)

- cell count / viability
- proliferation capacity (population doublings)
- phenotype (identity + purity)
- transduction efficiency
- therapeutic protein production
- functional stability
- genetic stability
- sterility



modified from Wieczorek and Uharek (2013) Transfus.Med.Hemother.

- Mycoplasma (EP 2.6.7)
- Endotoxin (EP 2.6.14)
- Sterility (EP 2.6.27)
- **Cell viability / total cell number**
- **Identity / Purity**
 - Multiple specific markers indicative of cell type
 - Vector presence
 - Reduction or elimination of undesired cells
 - Demonstration of consistency
- **Impurities** (Product/process-related)





- **Number of transduced (therapeutically active) cells**
- **Transgene expression levels**
- **Average copy number/cell, integration site mapping in case of targeted integration**
- **Absence of RCV, modifying enzymes (TALENs, transposons, ZFNs)**
- **Potency**
 - (Ideally) reflects biological activity, should correlate with intended therapeutic effect. (At least) shows consistency of the product.
- **Stability**
- **Other**
 - Conditions of transport
 - In use stability



- **proof-of-principle** including **mode of action**
- **biodistribution** of the cell therapy to **identify potential target organs of toxicity**
- **identification of toxic effects** including **immunotoxicity and tumorigenicity**
- **estimation of clinical starting dose**
- **identification of patient eligibility criteria**
- **identification of clinical monitoring parameters**



PHARMACOLOGY:

- ✓ dose dependent therapeutic efficacy, e.g. using tumor bearing animal models
- ✓ activation and proliferation capacity of CAR T-cells
- ✓ MoA - effect mediated by CAR (target blocking, transduced vs. untransduced cells, specificity)

PHARMACOKINETICS:

- ✓ distribution, engraftment and persistence of CAR T-cells
- ✓ capability of IMP to migrate to target area
- ✓ duration and level of CAR expression
- ✓ parameters should mimic clinical situation



- **On-target / off-tumor toxicity**
 - low level antigen expression in non-target tissues
 - use of high affinity CARs
- **Off-target/off-tumor toxicity**
 - Presence of similar epitopes detected by CAR, rather an issue of transgenic TCR ??
- **Identification of a safe clinical dose**
 - In vivo expansion of administered cells
 - Excessive T-cell proliferation
- **TLS**
- **Cytokine release syndrome**
- **GvHD (use of allogeneic T-cells)**
- **Insertional oncogenesis** (criticality dependent on vector, integration events, cell impurity levels)

Points to consider



..... when addressing safety of CAR T-cells

- Use of suitable animal models, or justify
- Use of batches comparable to clinical batches (e.g. composition of cell types, % transduced cells etc.)
- Use of model tumors reflecting clinical TAA expression levels
- Clinical data with similar CAR might be supportive
- Provision of an appropriate safety strategy re. CAR T-cell elimination (suicide gene, tags, regulated CAR expression/activity, mABs)

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11 February 2013
EMA/CAT/CPWP/686637/2011
Committee for Advanced Therapies (CAT)

Guideline on the risk-based approach according to annex I, part IV of Directive 2001/83/EC applied to Advanced therapy medicinal products

13 April 2012
EMA/CAT/GTWP/671639/2008
Committee for Advanced Therapies (CAT)

Guideline on quality, non-clinical and clinical aspects of medicinal products containing genetically modified cells

19 April 2013
EMA/CAT/190186/2012
Committee for Advanced Therapies (CAT)

Reflection paper on management of clinical risks deriving from insertional mutagenesis

GUIDELINE ON SCIENTIFIC REQUIREMENTS FOR THE ENVIRONMENTAL RISK ASSESSMENT OF GENE THERAPY MEDICINAL PRODUCTS

London, 30 May 2008
Doc. Ref. EMEA/CHMP/GTWP/125491/2006

GUIDELINE ON THE NON-CLINICAL STUDIES REQUIRED BEFORE FIRST CLINICAL USE OF GENE THERAPY MEDICINAL PRODUCTS

London 30 May 2008
EMA/CHMP/GTWP/125459/2006

GUIDELINE ON POTENCY TESTING OF CELL BASED IMMUNOTHERAPY MEDICINAL PRODUCTS FOR THE TREATMENT OF CANCER

London, 10 October 2007
Doc. Ref. EMEA/CHMP/BWP/271475/2006

GUIDELINE ON HUMAN CELL-BASED MEDICINAL PRODUCTS

London, 21 May 2008
Doc. Ref. EMEA/CHMP/410869/2006

GUIDELINE ON FOLLOW-UP OF PATIENTS ADMINISTERED WITH GENE THERAPY MEDICINAL PRODUCTS

London, 22 October 2009
Doc. Ref. EMEA/CHMP/GTWP/60436/2007

Case by case decision on requirements
Employment of a tailored approach
Allowing sufficient flexibility for product developments



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EUROPEAN MEDICINES AGENCY
SCIENCE MEDICINES HEALTH

European Medicines Agency Guidance for Companies
requesting Scientific Advice and Protocol Assistance



Cell-based immune therapies – regulatory pathway and critical issues

Thank you !



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Prof. Paul Ehrlich in his office

